



Case Summary

Respondent's Name: Jian Feng Chen o/a Steven Chen
Forum: Licence Appeal Tribunal (LAT or "the Tribunal")
Decision: Registration as a broker refused
Date of Decision: October 27, 2020

Summary

On June 27, 2019, the Registrar issued a Notice of Proposal to refuse the registration of Chen on the grounds that a) his past conduct afforded reasonable grounds for belief that he would not carry on business in accordance with law and with integrity and honesty, and b) having regard to his financial position, he could not reasonably be expected to be financially responsible in the conduct of business.

Chen and his former brokerage, 9414240 Canada Inc., which was registered as Ulticom Realty, were convicted under the *Provincial Offences Act*, 1990 with various offences for contravening the *Real Estate and Business Brokers Act*, 2002 and the associated regulations.

Chen appealed the Registrar's Notice of Proposal to the Licence Appeal Tribunal. Chen's appeal was dismissed by the Tribunal on October 19, 2020 on the grounds that Chen had abandoned his appeal. Following the dismissal of the appeal, on October 27, 2020, the Registrar carried out his Notice of Proposal to refuse the registration of Chen.