



Important: PRINT or TYPE all information in BLACK INK

NOTICE OF CHANGE - DISCLOSURE AMENDMENT FORM

If a criminal record is being disclosed, an original Canadian Criminal Record and Judicial Matters Check must be submitted with this form

WARNING - IT IS AN OFFENCE TO PROVIDE FALSE INFORMATION

SECTION A - NAME, REGISTRATION NUMBER, AND SIGNATURE OF APPLICANT AND AUTHORIZED SIGNATORY

Registered Name Registration Number

I hereby confirm that I have personally completed this application and certify that the information I have provided is to the best of my knowledge and belief true and complete.

Signature of Applicant Date

CERTIFICATE OF EMPLOYER

I hereby certify that I have personally reviewed this application (after being completed and signed by the applicant) with the applicant and declare that the information given by the applicant is to the best of my knowledge and belief true and complete.

Registered Name of Employer Brokerage Registration Number

Name of Authorized Signing Official (Please Print) Signature Title Date

NOTICE TO REGISTRAR RE: CERTAIN CHANGES TRESA 28 (1)

If there is a change to any of the information that was included in the registrant's application the registrant shall notify the registrar within five days after the change takes place and shall set out the nature of the change. TRESA 28 (1).

SECTION B - I HEREBY NOTIFY THE REGISTRAR OF THE FOLLOWING CHANGES:

REVIEW COMPLETION INSTRUCTIONS ON PAGE 2 PRIOR TO COMPLETING THIS SECTION. Please only answer those questions for which there is a change or new information to disclose.

- 1. Are you, or will you be, registered/licensed, engaged or employed in any other business, occupation or profession?
2. Are you a Partner, Officer, Director or shareholder in any other business?
3. Are you now or have you ever been involved in personal bankruptcy or insolvency proceedings...
4. Are there any unpaid judgments and/or unpaid debts outstanding against you...
5. Have you ever had a registration and/or licence or professional status of any kind refused...
6. Are there currently any charges pending, or have you ever been found guilty, pleaded guilty to, or been convicted of an offence under any law...

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**SECTION C: INFORMATION RELATING TO NEW OR CHANGE IN DISCLOSURE**

Full particulars relating to the new disclosure or change in information previously disclosed must be added here. If needed, please attach a separate statement.

**COMPLETION INSTRUCTIONS – SECTION B – REGISTRANT DISCLOSURE QUESTIONS**

- Question 1 If you answered yes, the information required includes:
1. The full name of the business as well as the position held and the nature or description of the business, occupation or profession.
  2. If the other employment involves activity that falls under the definition of “trade” found in the Act, you must provide a copy of the complete job description supplied by the employer.
- Question 2 If you answered yes, the information required includes:
1. The legal name of the business and operating name if applicable.
  2. The nature of the business, your position in the company, and any ownership interest.
- For Personal Real Estate Corporations (PREC)s, please provide the legal name of the PREC and the address for service.**
- Question 3 If you answered yes, you must submit full particulars of the circumstances that led to the matter on a signed and dated statement, along with a copy of the following documents:
- | <b>Bankruptcy Documents</b>                       | <b>Consumer Proposal Documents</b>   |
|---|--------------------------------------|
| Form 69: Assignment of Bankruptcy                 | The Consumer Proposal                |
| Form 79: Statement of Assets, Liabilities         | The Statement of Income and Expenses |
| Form 65: Monthly Income & Expense Statement       | The Statement of Affairs             |
| Form 84: Certificate of Discharge (if applicable) | The Assessment Certificate           |
|   | The Terms of Payments and Conditions |
- Question 4 If you answered yes, you must submit a copy of each judgment and other such documents pertaining to outstanding debts against you (example; garnishments, requirements to pay, writs of execution etc.). State the amount outstanding and repayment arrangements on a separate sheet. You must also submit full particulars regarding the circumstances that led to the matter(s) on a signed and dated statement.
- Question 5 If you answered yes, you must submit full particulars on a signed and dated statement. A driver’s abstract may be required in the case of a suspension.
- Question 6 **If the response was “Yes” registrants must submit a current, original Canadian Criminal Record and Judicial Matters Check (must be dated within 6 months of submission of application) and full particulars on a signed and dated statement.** This does not include municipal parking violations or minor Highway Traffic Act offences unless your driver’s license was suspended. **This includes a charge where a conditional discharge or an absolute discharge has been granted.**